

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

11

B-10

CONSTRUCTION ON STATE HIGHWAY IN  
SAN DIEGO COUNTY IN SAN MARCOS FROM 0.1 MILE EAST OF TWIN OAKS VALLEY ROAD  
OVERCROSSING TO 0.2 MILE WEST OF WOODLAND PARKWAY UNDERCROSSING  
In District 11 on Route 78

UNDER

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Notice to Bidders and Special Provisions dated October 3, 2016  
Project plans approved August 29, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 11-421604

11-SD-78-13.0/14.1

Project ID 1115000142

FEDERAL-AID PROJECT  
ACNHP-P078(118)E

Bids open Tuesday, November 29, 2016

Dated October 3, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 11-421604 Project ID: 1115000142

Bidder Name:Western Rim Constructors, Inc.

Bidder ID:VC0000101494

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Western Rim Constructors, Inc.

Business Street Address 621 S Andreasen Drive, Suite B  
(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Escondido, CA, 92029

Telephone No: AREA CODE 7604894328

Fax No: AREA CODE 7604894304

Contractor License No. 798623

Public Works Contractor Registration No. 1000004677

Checksum 4C708084

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the nonplant establishment work within the number of working days bid for nonplant establishment work.

2. Bidder has and acknowledges the following addenda:4  
12-02-16 Revise Bid Book, Federal Minimum Wages, Information Handout, Projec
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME:Ray C Samuelson AND  
TITLE OF PERSON SIGNING:President

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BY (Authorized signature)

DATE SIGNED( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	2,000.00
2	080050 SCHEDULE (CRITICAL PATH METHOD)	PROGRESS	LUMP SUM LS	LUMP SUM	5,000.00
3	090100 OVERHEAD (WDAY)	TIME-RELATED	250.0 WDAY	1,500.00	375,000.00
4	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	20,900.00
5	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	75,000.00
6	120120 BARRICADE	TYPE III	11.0 EA	39.00	429.00
7	120199 DRUM	TRAFFIC PLASTIC	41.0 EA	38.49	1,578.09
8	032386 SPEED SIGN	TEMPORARY RADAR	2.0 EA	12,000.00	24,000.00
9	128651 (EA)	PORTABLE CHANGEABLE MESSAGE SIGN	10.0 EA	4,000.00	40,000.00
10	129000 RAILING (TYPE K)	TEMPORARY	9,480.0 LF	19.85	188,178.00
11	032387 SYSTEM	ALTERNATIVE TEMPORARY CRASH CUSHION	5.0 EA	3,000.00	15,000.00
12	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	40,300.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	2,150.00
14	130310	RAIN EVENT ACTION PLAN	24.0 EA	500.00	12,000.00
15	130320	STORM WATER SAMPLING AND ANALYSIS DAY	17.0 EA	600.00	10,200.00
16	130330	STORM WATER ANNUAL REPORT	2.0 EA	2,000.00	4,000.00
17	130610	TEMPORARY CHECK DAM	30.0 LF	10.00	300.00
18	130620	TEMPORARY DRAINAGE INLET PROTECTION	14.0 EA	428.57	5,999.98
19	130640	TEMPORARY FIBER ROLL	11,600.0 LF	3.00	34,800.00
20	130650	TEMPORARY GRAVEL BAG BERM	40.0 LF	5.00	200.00
21	130710	TEMPORARY CONSTRUCTION ENTRANCE	3.0 EA	3,500.00	10,500.00
22	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	10,000.00
23	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	6,000.00
24	141120	TREATED WOOD WASTE	10,400.0 LB	0.48	4,992.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	150204 (LF)	ABANDON CULVERT	490.0 LF	17.50	8,575.00
26	150608 LINK FENCE	REMOVE CHAIN	100.0 LF	21.10	2,110.00
27	150661	REMOVE GUARDRAIL	530.0 LF	13.40	7,102.00
28	150685 IRRIGATION FACILITY	REMOVE	LUMP SUM LS	LUMP SUM	1,000.00
29	150711 TRAFFIC STRIPE	REMOVE PAINTED	45,800.0 LF	0.30	13,740.00
30	150714 THERMOPLASTIC TRAFFIC STRIPE	REMOVE	6,600.0 LF	0.40	2,640.00
31	150715 THERMOPLASTIC PAVEMENT MARKING	REMOVE	210.0 SQFT	2.00	420.00
32	150742 SIGN	REMOVE ROADSIDE	12.0 EA	75.00	900.00
33	150757 STRUCTURE (EA)	REMOVE SIGN	5.0 EA	3,512.00	17,560.00
34	150820	REMOVE INLET	7.0 EA	659.71	4,617.97
35	153103 ASPHALT CONCRETE PAVEMENT	COLD PLANE	1,170.0 SQYD	16.20	18,954.00
36	160102 GRUBBING (LS)	CLEARING AND	LUMP SUM LS	LUMP SUM	20,000.00

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Contract No.: 11-421604 Project ID: 1115000142

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Bidder ID: VC0000101494

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	180106	DUST PALLIATIVE	LUMP SUM LS	LUMP SUM	5,000.00
38	190101	ROADWAY EXCAVATION	6,890.0 CY	49.88	343,673.20
39	190105	ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)	2,290.0 CY	180.00	412,200.00
40	190161	ROCK EXCAVATION	6,120.0 CY	56.95	348,534.00
41(F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	426.0 CY	71.65	30,522.90
42(F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	182.0 CY	57.10	10,392.20
43(F)	193031	PERVIOUS BACKFILL MATERIAL (RETAINING WALL)	40.0 CY	50.00	2,000.00
44	194001	DITCH EXCAVATION	70.0 CY	71.00	4,970.00
45	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LUMP SUM LS	LUMP SUM	1,000.00
46	210250	EROSION CONTROL (BONDED FIBER MATRIX) (SQFT)	102,000.0 SQFT	0.11	11,220.00
47	210360	COMPOST SOCK	6,380.0 LF	7.40	47,212.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	260203	CLASS 2 AGGREGATE BASE (CY)	5,770.0 CY	51.54	297,385.80
49	374002	ASPHALTIC EMULSION (FOG SEAL COAT)	0.7 TON	2,900.00	2,030.00
50	390132	HOT MIX ASPHALT (TYPE A)	3,050.0 TON	99.00	301,950.00
51	390136	MINOR HOT MIX ASPHALT	38.0 TON	131.58	5,000.04
52	390137	RUBBERIZED HOT MIX ASPHALT (GAP GRADED)	1,770.0 TON	147.00	260,190.00
53	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	180.0 LF	5.00	900.00
54	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	2,810.0 LF	5.00	14,050.00
55	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	45.0 LF	5.00	225.00
56	032388	PLACE HOT MIX ASPHALT DIKE (TYPE C MODIFIED)	48.0 LF	5.00	240.00
57	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	21.0 SQYD	119.05	2,500.05
58	397005	TACK COAT	3.0 TON	2,900.00	8,700.00



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59	498052 60"	CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	100.0 LF	885.00	88,500.00
60(F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	274.0 CY	572.77	156,938.98
61(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	28.0 CY	343.00	9,604.00
62(F)	511064	FRACTURED RIB TEXTURE	973.0 SQFT	34.93	33,986.89
63	511106	DRILL AND BOND DOWEL	11.0 LF	113.64	1,250.04
64(F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	25,845.0 LB	1.22	31,530.90
65(F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	74,690.0 LB	2.68	200,169.20
66(F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	74,690.0 LB	0.23	17,178.70
67	566011	ROADSIDE SIGN - ONE POST	5.0 EA	285.00	1,425.00
68	566012	ROADSIDE SIGN - TWO POST	6.0 EA	1,195.00	7,170.00
69	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	5.0 EA	125.00	625.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
70	568017	INSTALL ROADSIDE SIGN PANEL ON EXISTING POST	15.0 EA	100.00	1,500.00
71	032389	FURNISH LAMINATED PANEL SIGN (1"-TYPE A) FOR RETROREFLECTIVE SHEETING (TYPE XI)	820.0 SQFT	23.75	19,475.00
72	032390	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	65.0 SQFT	15.38	999.70
73	032391	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	220.0 SQFT	11.50	2,530.00
74	032392	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	72.0 SQFT	20.83	1,499.76
75	032393	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	230.0 SQFT	21.74	5,000.20
76	032394	RETROREFLECTIVE SHEETING (TYPE XI)	1,400.0 SQFT	4.25	5,950.00
77	BLANK		LUMP SUM LS	LUMP SUM	0.00
78	650018	24" REINFORCED CONCRETE PIPE 24" REINFORCED CONCRETE PIPE	70.0 LF	136.57	9,559.90

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
79	650022	30" REINFORCED CONCRETE PIPE	9.0 LF	292.44	2,631.96
80	680905	8" PERFORATED PLASTIC PIPE UNDERDRAIN	290.0 LF	48.69	14,120.10
81	690318	18" BITUMINOUS COATED PAVED INVERT CORRUGATED STEEL PIPE DOWNDRAIN (.109" THICK)	36.0 LF	158.56	5,708.16
82(F)	721017	ROCK SLOPE PROTECTION (FACING, METHOD B) (CY)	2.0 CY	476.25	952.50
83	721420	CONCRETE (DITCH LINING)	160.0 CY	410.00	65,600.00
84	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	7.0 SQYD	358.86	2,512.02
85	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	120.0 CY	650.10	78,012.00
86(F)	750001	MISCELLANEOUS IRON AND STEEL	2,712.0 LB	2.25	6,102.00
87	800103	TEMPORARY FENCE (TYPE CL-6)	110.0 LF	32.00	3,520.00
88	800360	CHAIN LINK FENCE (TYPE CL-6)	100.0 LF	38.50	3,850.00
89	820118	GUARD RAILING DELINEATOR	4.0 EA	25.00	100.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
90	832005	MIDWEST GUARDRAIL SYSTEM	38.0 LF	96.00	3,648.00
91(F)	839521	CABLE RAILING	245.0 LF	33.30	8,158.50
92	839581	END ANCHOR ASSEMBLY (TYPE SFT)	1.0 EA	830.00	830.00
93	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	1.0 EA	3,750.00	3,750.00
94	032395	ALTERNATIVE CRASH CUSHION	3.0 EA	32,100.00	96,300.00
95	839701	CONCRETE BARRIER (TYPE 60)	2,360.0 LF	63.70	150,332.00
96	839704	CONCRETE BARRIER (TYPE 60D)	250.0 LF	84.58	21,145.00
97	839706	CONCRETE BARRIER (TYPE 60G)	620.0 LF	93.50	57,970.00
98	032396	CONCRETE BARRIER (TYPE 60 MODIFIED)	66.0 LF	300.00	19,800.00
99(F)	839731	CONCRETE BARRIER (TYPE 736B)	81.0 LF	150.00	12,150.00
100(F)	839745	CONCRETE BARRIER TRANSITION	90.0 LF	280.00	25,200.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
101	840516	THERMOPLASTIC PAVEMENT MARKING (ENHANCED WET NIGHT VISIBILITY)	89.0 SQFT	6.00	534.00
102	840655	PAINT TRAFFIC STRIPE (1-COAT)	10,700.0 LF	0.14	1,498.00
103	840656	PAINT TRAFFIC STRIPE (2-COAT)	35,200.0 LF	0.18	6,336.00
104	032397	4" TRAFFIC STRIPE TAPE (WARRANTY)	22,900.0 LF	2.60	59,540.00
105	032398	6" TRAFFIC STRIPE TAPE (WARRANTY)	9,370.0 LF	3.60	33,732.00
106	032399	8" TRAFFIC STRIPE TAPE (WARRANTY)	4,900.0 LF	4.30	21,070.00
107	032400	8" TRAFFIC STRIPE TAPE (BROKEN 12-3) (WARRANTY)	3,850.0 LF	3.50	13,475.00
108	032401	6" TRAFFIC STRIPE TAPE (BROKEN 36-12) (WARRANTY)	24,500.0 LF	2.20	53,900.00
109	850101	PAVEMENT MARKER (NON-REFLECTIVE)	2,400.0 EA	1.10	2,640.00
110	850111	PAVEMENT MARKER (RETROREFLECTIVE)	1,910.0 EA	2.90	5,539.00
111	870200	LIGHTING SYSTEM	LUMP SUM LS	LUMP SUM	286,500.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
112	BLANK		LUMP SUM LS	LUMP SUM	0.00
113	032584	18" BITUMINOUS COATED PAVED INVERT CORRUGATED STEEL PIPE (.064" THICK)	2.1 LF	476.19	1,000.00
114(F)	510094	STRUCTURAL CONCRETE, DRAINAGE INLET	14.0 CY	4,000.00	56,000.00
115	665135	36" BITUMINOUS COATED CORRUGATED STEEL PIPE (.064" THICK)	5.0 LF	280.70	1,403.50
116	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	535,000.00
Total Bid					\$5,351,494.24

Bidding Firm: Western Rim Constructors, Inc.

List this sub? Yes

- 1) Business Name Sealright Paving  
Location City Spring Valley State CA  
California Contractor License Number 364113  
Public Works Contractor Registration Number 1000039542  
Portion of Work Subcontracted:

Item	%	Description
35	100	Cold Plane Asphalt Concrete Pavement
49	100	Asphaltic Emulsion Fog Seal Coat
50	100	Hot Mix Asphalt
52	100	Rubberized Hot Mix Asphalt
58	100	Tack Coat

List this sub? Yes

- 2) Business Name Maneri Traffic Control  
Location City Temecula State CA  
California Contractor License Number 1017741  
Public Works Contractor Registration Number 1000043135  
Portion of Work Subcontracted:

Item	%	Description
5	40	Traffic Control Lane Closures

List this sub? Yes

- 3) Business Name Fence Corp  
Location City Oceanside State CA  
California Contractor License Number 886544  
Public Works Contractor Registration Number 1000000850  
Portion of Work Subcontracted:

Item	%	Description
24	41	treated wood waste portin
26	100	remove fence
27	100	remove guardrail
87	100	temporary fence
88	100	chain link fence

Bidding Firm: Western Rim Constructors, Inc.

List this sub? Yes

4) Business Name Fence Corp  
Location City Oceanside State CA  
California Contractor License Number 886544  
Public Works Contractor Registration Number 1000000850  
Portion of Work Subcontracted:

Item %	Description
89 100	Guard Railing delineator
90 100	midwest guardrail system
91 100	Cable railing
92 100	end anchor assembly
93 100	alternative flared terminal system

List this sub? Yes

5) Business Name Fence Corp  
Location City Oceanside State CA  
California Contractor License Number 886544  
Public Works Contractor Registration Number 1000000850  
Portion of Work Subcontracted:

Item %	Description
94 100	alternative crash cushion

List this sub? Yes

6) Business Name Bradley Tanks, Inc.  
Location City Carlsbad State CA  
California Contractor License Number 964166  
Public Works Contractor Registration Number 1000011156  
Portion of Work Subcontracted:

Item %	Description
39 89	transporation of Z2 ADL soil



Bidding Firm: Western Rim Constructors, Inc.

List this sub? Yes

7) Business Name Smith and Son  
Location City Fallbrook State CA  
California Contractor License Number 590246  
Public Works Contractor Registration Number 1000015138  
Portion of Work Subcontracted:

Item %	Description
6 100	type III barricades
7 100	traffic plastic drums
32 100	remove roadside sign
67 100	roadside sign one post
68 100	roadside sign two post

List this sub? Yes

8) Business Name Smith and Son  
Location City Fallbrook State CA  
California Contractor License Number 590246  
Public Works Contractor Registration Number 1000015138  
Portion of Work Subcontracted:

Item %	Description
69 100	install roadside sign
70 100	install roadside sign on existing post

List this sub? Yes

9) Business Name Payco Specialties, Inc.  
Location City Chula Vista State CA  
California Contractor License Number 298637  
Public Works Contractor Registration Number 1000003515  
Portion of Work Subcontracted:

Item %	Description
1 75	Lead compliance plan portion
29 100	remove paint stripe
30 100	remove thermo stripe
31 100	remove thermo marking
101 100	thermo pavement marking

Bidding Firm: Western Rim Constructors, Inc.

List this sub? Yes

10) Business Name Payco Specialties  
Location City Chula Vista State CA  
California Contractor License Number 298637  
Public Works Contractor Registration Number 1000003515  
Portion of Work Subcontracted:

Item	%	Description
102	100	paint stripe
103	100	paint stripe
104	100	4" stripe tape
105	100	6" stripe tape
106	100	8" stripe tape

List this sub? Yes

11) Business Name Payco Specialties  
Location City Chula Vista State CA  
California Contractor License Number 298637  
Public Works Contractor Registration Number 1000003515  
Portion of Work Subcontracted:

Item	%	Description
107	100	8" stripe tape
108	100	6" stripe tape
109	100	pavement marker
110	100	pavement marker

List this sub? Yes

12) Business Name Statewide Traffic Safety and Signs  
Location City Poway State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
59	100	60" cast in drilled Hole concrete pile
65	100	furnish sign structure
66	100	install sign structure
71	100	furnish laminated panel sign
76	100	retroreflective sheeting

Bidding Firm: Western Rim Constructors, Inc.

List this sub? Yes

13) Business Name Statewide Traffic Safety and Signs  
Location City Poway State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
116	2	Mobilization Portion

List this sub? Yes

14) Business Name GeoX  
Location City Vista State CA  
California Contractor License Number 767301  
Public Works Contractor Registration Number 1000005846  
Portion of Work Subcontracted:

Item	%	Description
44	100	ditch excavation
83	100	concrete ditch lining

List this sub? Yes

15) Business Name MSL Electric  
Location City Anaheim State CA  
California Contractor License Number 822450  
Public Works Contractor Registration Number 1000000550  
Portion of Work Subcontracted:

Item	%	Description
111	100	Lighting System



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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 11/29/16 Bidder's Name: Western Rim Constructors, Inc.

Signature: \_\_\_\_\_

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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 11-421604 Project ID: 1115000142

Bidder Name: Western Rim Constructors, Inc.

Bidder ID: VC0000101494

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 11-421604 Project ID: 1115000142

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
  - For Material Change Only:
  - Year
  - Quarter
  - Date of last report
4. Name and Address of Reporting Entity:

- Tier ,if known
  - Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

- Congressional District, if known:
6. Federal Department/Agency:

7. Federal Program Name/Description:

- CFDA Number, if applicable
8. Federal Action Number, if known:
  9. Award amount, if known (\$):
  10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

- b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:  
Title:  
Telephone No.:  
DATE:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Western Rim Constructors, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of San Diego

Name Ray C Samuelson,

being first duly sworn, deposes and says that he or she is

President of

Western Rim Constructors, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 11-421604 Project ID: 1115000142

Bidder Name: Western Rim Constructors, Inc.

Bidder ID: VC0000101494

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
BIDDER'S BOND  
DES-OE 0102.3 (REV 4/2016)  
Contract No. 11-421604

Bond No. \_\_\_\_\_

We \_\_\_\_\_  
\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SAN DIEGO COUNTY IN SAN MARCOS FROM 0.1 MILE EAST OF TWIN OAKS VALLEY ROAD OVERCROSSING TO 0.2 MILE WEST OF WOODLAND PARKWAY UNDERCROSSING In District 11 on Route 78 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 12-13-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact



CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)